



# UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under 37 CFR § 1.53(b))*

Attorney Docket No.	1823.0560000
First Inventor	Joseph Arnold
Title	System and Methods for Illuminating a Platen in a Print Scanner
Express Mail Label No.	

## APPLICATION ELEMENTS

*See MPEP chapter 600 concerning utility patent application contents.*

- |   |   |
|---|---|
| <p>1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/><i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>29</u>]<br/><i>(preferred arrangement set forth below)</i><br/>- Descriptive title of the Invention<br/>- Cross Reference to Related Applications<br/>- Statement Regarding Fed sponsored R &amp; D<br/>- Reference to sequence listing, a table, or a computer program listing appendix<br/>- Background of the Invention<br/>- Brief Summary of the Invention<br/>- Brief Description of the Drawings (<i>if filed</i>)<br/>- Detailed Description<br/>- Claim(s)<br/>- Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>12</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>  </u>]<br/>a. <input type="checkbox"/> Newly executed (original or copy)<br/>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/><i>(for continuation/divisional with Box 18 completed)</i><br/>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program<br/><i>(Appendix)</i></p> <p>8. Nucleotide and/or Amino Acid Sequence Submission<br/><i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
|---|---|

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Two Return Receipt Postcards (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: Authorization under 37 C.F.R. § 1.136(a)(3)  
 Other: The name of the assignee is:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-Part (CIP)    of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

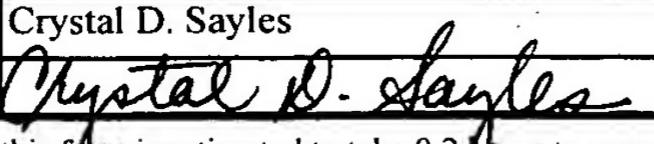
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or  Correspondence address below

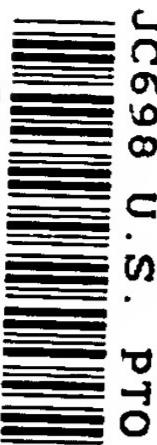
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NAME	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.		
	Attorneys at Law		
ADDRESS			
CITY	STATE	ZIP CODE	
COUNTRY	TELEPHONE	FAX	

NAME (Print/Type)	Crystal D. Sayles	Registration No. (Attorney/Agent)	44,318
SIGNATURE			
Date	January 17, 2002		

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

01/17/02



## STERNE, KESSLER, GOLDSTEIN &amp; FOX P.L.L.C.

ATTORNEYS AT LAW

1100 NEW YORK AVENUE, N.W. • WASHINGTON, D.C. 20005-3934

PHONE: (202) 371-2600 • FACSIMILE: (202) 371-2540 • www.skf.com

jc997 U.S. PRO  
10/050046

ROBERT GREENE STERNE  
 EDWARD J. KESSLER  
 JORGE A. GOLDSTEIN  
 SAMUEL L. FOX\*\*\*  
 DAVID K.S. CORNWELL  
 ROBERT W. EDMOND  
 TRACY-GENE G. DURKIN  
 MICHELE A. CIMBALA  
 MICHAEL B. RAY  
 ROBERT E. SOKOHL  
 ERIC K. STEFFE  
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 ROBERT C. MILLONIG  
 MICHAEL V. MESSINGER  
 JUDITH U. KIM  
 TIMOTHY J. SHEA, JR.  
 PATRICK E. GARRETT  
 JEFFREY T. HELVEY\*  
 HEIDI L. KRAUS  
 JEFFREY R. KURIN  
 PATRICK D. O'BRIEN  
 LAWRENCE B. BUGAIISKY  
 CRYSTAL D. SAYLES

EDWARD W. YEE  
 ALBERT L. FERRO\*  
 DONALD R. BANOWIT  
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 MOLLY A. McCALL  
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 DUSTIN T. JOHNSON\*\*  
 MATTHEW J. DOWD\*\*  
 AARON L. SCHWARTZ\*\*

\*LIMITED TO MATTERS  
 AND PROCEEDINGS BEFORE  
 FEDERAL COURTS & AGENCIES  
 \*\*REGISTERED PATENT AGENT  
 \*\*\*SENIOR COUNSEL

January 17, 2002

**WRITER'S DIRECT NUMBER:**  
 (202) 789-5522

**INTERNET ADDRESS:**  
 CSAYLES@SKGF.COM

Commissioner for Patents  
 Washington, D.C. 20231

**Box Patent Application**

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)  
 Appl. No. *to be assigned*; Filed: January 17, 2002  
 For: **Systems and Methods for Illuminating a Platen in a Print Scanner**  
 Inventors: Joseph Arnold *et al.*  
 Our Ref: 1823.0560000

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
 Patent and Trademark Office:

1. USPTO Utility Patent Application Transmittal Form PTO/SB/05;
2. U.S. Utility Patent Application entitled:

**Systems and Methods for Illuminating a Platen in a Print Scanner**

and naming as inventors:

Joseph Arnold;  
 John F. Carver;  
 Trent Francis;  
 Richard Irving;  
 George William McClurg; and  
 Walter Guy Scott

Commissioner for Patents  
January 17, 2002  
Page 2

the application comprising:

- a. specification containing:
    - i. 22 pages of description prior to the claims;
    - ii. 6 pages of claims (37 claims - 6 independent claims);
    - iii. a one (1) page abstract;
  - b. 12 sheets of drawings: (Figures 1A-B, 2, 3A-C, 4, 5, 6A-D, and 7-8); and
  - c. an Application Data Sheet (37 C.F.R. § 1.76).
3. 37 C.F.R. § 1.136(a)(3) Authorization to Treat a Reply As Incorporating An Extension of Time (*in duplicate*); and
  4. Two (2) return postcards.

Correspondence should be sent to Customer Number 26111.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

***This patent application is being submitted under 37 C.F.R. § 1.53(b) without Declaration and without filing fee.***

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

*Crystal D. Sayles*  
Crystal D. Sayles  
Attorney for Applicants  
Registration No. 44,318

MVM:CDS:jmh

JC997 U.S. PTO  
10/050046  
01/17/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joseph Arnold *et al.*

Appl. No. to be assigned

Filed: January 17, 2002

For: **Systems and Methods for  
Illuminating a Platen in a Print  
Scanner**

Confirmation No.

Art Unit: to be assigned

Examiner: to be assigned

Atty. Docket: 1823.0560000

**Authorization To Treat A Reply As Incorporating An Extension Of  
Time Under 37 C.F.R. § 1.136(a)(3)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Crystal D. Sayles  
Attorney for Applicants  
Registration No. 44,318

Date: Jan. 17, 2002  
1100 New York Avenue, N.W.  
Suite 600  
Washington, D.C. 20005-3934  
(202) 371-2600